

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: UCHIDA et al. Examiner: Unknown  
Filed: November 3, 2003 Docket No.: 10873.818USD1  
Title: OPTICAL DISK AND METHOD FOR PRODUCING THE SAME

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 322887926 US

Date of Deposit: November 3, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop DIVISIONAL PATENT APPLICATION, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

*Teresa Anderson*

Name: Teresa Anderson

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop DIVISIONAL PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 10/038,189 filed on October 24, 2001.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

Japanese reference 6-290,496 corresponds with U.S. 6,027,825.

Japanese reference 2001-126332 corresponds with U.S. 2001/0018107.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

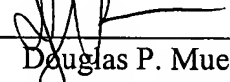
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612) 332-5300

Dated: November 3, 2003

By:   
Douglas P. Mueller  
Reg. No. 30,300

DPM/jh



<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 10873.818USD1	Application Number: Unknown
	Applicant: UCHIDA et al.	
	Filing Date: November 3, 2003	Group Art Unit: Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,027,825	02/2000	Shiratori et al.				
	2001/0018107	08/2001	Ishii				
	6,445,669	09/2002	Hattori et al.				
	6,343,052	01/2002	Hashimoto et al.				
	6,115,330	09/2000	Morimoto, Yasuaki				
	5,684,762	11/1997	Kubo, Wataru				
	6,449,221	09/2002	Knight et al.				
	6,177,175	01/2001	Hashimoto, Morimi				
	2001/0005535	06/2001	Tsutsui et al.				
	2003/0081510	05/2003	Murakami et al.				
	5,991,258	11/1999	Morita et al.				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	6-290,496	10/1994	Japan			See IDS	
	10-340493	12/1998	Japan			Partial & Abstract	
	2001-126332	05/2001	Japan			See IDS	
	11-312342	11/1999	Japan				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							

**23552**

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	